On Chromatic Polynomials, List Color Functions, and DP Color Functions

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Joint work with Hemanshu Kaul

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For graph G a list assignment for G, L, assigns each v ∈ V(G) a list, L(v), of available colors. A proper L-coloring of G is a proper coloring, f, of G such that f(v) ∈ L(v) for all v ∈ V(G).



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- Clearly, $\chi(G) \le \chi_{\ell}(G)$ (e.g., $2 = \chi(K_{2,4}) < \chi_{\ell}(K_{2,4})$).

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- For example, $P(K_{2,l}, m) = m(m-1)^l + m(m-1)(m-2)^l$.



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- The *list color function* P_ℓ(G, m) is the minimum value of P(G, L) where the minimum is taken over all possible m-assignments L for G.
- For example, $P_{\ell}(K_{2,4}, 2) = 0$, yet $P(K_{2,4}, 2) = 2$.

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Theorem (Kostochka, Sidorenko (1990); Kirov, Naimi (2016); Kaul, M. (2021))

For each $m \in \mathbb{N}$ the following statements hold. 1. $P_{\ell}(K_n, m) = P(K_n, m) = \prod_{i=0}^{n-1} (m-i)$. 2. $P_{\ell}(T, m) = P(T, m) = m(m-1)^{n-1}$, tree T with |V(T)| = n. 3. For $n \ge 3$, $P_{\ell}(C_n, m) = P(C_n, m) = (m-1)^n + (-1)^n (m-1)$. 4. For $n \ge 3$ and $k \in \mathbb{N}$, $P_{\ell}(C_n \lor K_k, m) = P(C_n \lor K_k, m)$.

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For each graph G, does there exist an $N_G \in \mathbb{N}$ such that $P_{\ell}(G, m) = P(G, m)$ whenever $m \ge N_G$?

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• The answer is yes! (Donner, 1992)

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Theorem (Wang, Qian, Yan (2017))

For any graph G, $\tau(G) \le (|E(G)| - 1) / \ln(1 + \sqrt{2}) + 1$.

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We know $\chi_{\ell}(G) = 3$ and $P(G,3) = 3 \cdot 2^{12} + 3 \cdot 2 \cdot 1^{12} = 12294.$ P(G,L) = 11264. So, $P_{\ell}(G,3) < P(G,3).$

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Theorem (Kaul, Kumar, M., Rewers, Shin, To (2022+))
Suppose $G = K_{2,l}$ and $l \ge 16$. Let $q = \lfloor l/4 \rfloor$. Then,
$$\tau(G) > \left\lfloor \left(\frac{q}{\ln(16/7)}\right)^{1/2} + 1 \right\rfloor.$$

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Question

Let $\delta_{max}(t) = \max\{\tau(G) - \chi_{\ell}(G) : |E(G)| \le t\}$. What is the asymptotic behavior of $\delta_{max}(t)$?

DP-Coloring: A Different Perspective

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• Equivalent to finding an independent set of size 4 in:



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(1) { $L(u) : u \in V(G)$ } is a partition of V(H) of size |V(G)|; (2) for every $u \in V(G)$, the graph H[L(u)] is complete; (3) if $E_H(L(u), L(v))$ is nonempty, then u = v or $uv \in E(G)$; (4) if $uv \in E(G)$, then $E_H(L(u), L(v))$ is a matching.



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• We say \mathcal{H} is *m*-fold if |L(u)| = m for each $u \in V(G)$.

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• In general, $\chi(G) \leq \chi_{\ell}(G) \leq \chi_{DP}(G)$.

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• In general, $P_{DP}(G, m) \leq P_{\ell}(G, m) \leq P(G, m)$.

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Suppose G is a unicyclic graph on n vertices. For $m \ge 2$, if G contains a cycle on 2k + 2 vertices, then $P_{DP}(G, m) = (m - 1)^n - (m - 1)^{n-2k-2} < P(G, m)$.

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Theorem (Dong, Yang (2022))

For graph G let $\ell_G : E(G) \to \mathbb{N} \cup \{\infty\}$ be the function that maps each cut-edge in G to ∞ and maps each non-cut-edge $e \in E(G)$ to the length of a shortest cycle in G containing e. If G contains an edge I such that $\ell_G(I)$ is even, then there exists $N \in \mathbb{N}$ such that $P_{DP}(G, m) < P(G, m)$ whenever $m \ge N$.

• If $P(G, m) - P_{DP}(G, m) > 0$ for infinitely many m, we let $\tau_{DP}(G) = \infty$.

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Question (Dong, Yang (2022))

Does there exist a graph G and two infinite sets of positive integers, A and B, satisfying $P_{DP}(G, m) = P(G, m)$ for each $m \in A$ and $P_{DP}(G, m) < P(G, m)$ for each $m \in B$?

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Question (Halberg, Kaul, Liu, M., Shin, Thomason (2020+))

For every graph G is there an $N \in \mathbb{N}$ and a polynomial p(m) such that $P_{DP}(G, m) = p(m)$ whenever $m \ge N$?

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Polynomial Question

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Theorem (Halberg, Kaul, Liu, M., Shin, Thomason (2020+))

Suppose that G is a graph with a feedback vertex set of size one. Then there exists $N \in \mathbb{N}$ and a polynomial p(m) such that $P_{DP}(G, m) = p(m)$ for all $m \ge N$.

Sticky Question

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For every graph G, does $\ell(G) = \tau(G)$? In other words, if $P_{\ell}(G, t) = P(G, t)$ for some $t \ge \chi(G)$, does it follow that $P_{\ell}(G, t+1) = P(G, t+1)$?

Question (Kaul, M. (2021))

If $P_{DP}(G, t) = P(G, t)$ for some $t \ge \chi(G)$, does it follow that $P_{DP}(G, t+1) = P(G, t+1)$?

Theorem (Bui, Kaul, Maxfield, M., Shin, Thomason (2021+))

If G is $\Theta(2,3,3,3,2)$ or $\Theta(2,3,3,3,3,3,3,2,2)$, then $P_{DP}(G,3) = P(G,3)$ and there is an $N \in \mathbb{N}$ such that $P_{DP}(G,m) < P(G,m)$ for all $m \ge N$.

Theorem (Dong, Yang (2022))

For graph G suppose ℓ_G maps each non-cut-edge $e \in E(G)$ to the length of a shortest cycle in G containing e. If G contains a spanning tree T such that for each $e \in E(G) - E(T)$, (*i*) $\ell_G(e)$ is odd and (*ii*) e is contained in a cycle C of length $\ell_G(e)$ with the property that $\ell_G(e') < \ell_G(e)$ for each $e' \in E(C) - (E(T) \cup \{e\})$, then $\tau_{DP}(G)$ is finite.

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For any $p \in \mathbb{N}$ and $n \geq 3$, $\tau_{DP}(K_p \vee C_n) = 3 + p$.

Recall $\chi(K_p \vee C_{2k+2}) = 2 + p$ and $\chi(K_p \vee C_{2k+1}) = 3 + p$.
The Join of a Graph and Complete Graph

Theorem (Becker et. al. (2021+))

Let $M = K_1 \lor G$, where G is the disjoint union of cycles C_{k_i} for $i \in [n]$, with each $k_i \ge 3$. Then,

$$au_{DP}(M) = egin{cases} 5 & \textit{if} \ \exists \ \textit{distinct} \ i,j \in [n] \ \textit{such that} \ k_i = k_j = 4 \ 4 & \textit{otherwise}. \end{cases}$$

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Anyone Have Questions or... Answers?

- If $P_{\ell}(G, t) = P(G, t)$ for some $t \ge \chi(G)$, does it follow that $P_{\ell}(G, t+1) = P(G, t+1)$?
- 2 Let $\delta_{max}(t) = \max\{\tau(G) \chi_{\ell}(G) : |E(G)| \le t\}$. What is the asymptotic behavior of $\delta_{max}(t)$?
- Ooes there exist a graph *G* and two infinite sets of positive integers, *A* and *B*, satisfying P_{DP}(G, m) = P(G, m) for each m ∈ A and P_{DP}(G, m) < P(G, m) for each m ∈ B?</p>
- Solution For every graph G is there an N ∈ N and a polynomial p(m) such that P_{DP}(G, m) = p(m) whenever m ≥ N?
- Solution Given a graph *G* and $p \in \mathbb{N}$, what is the value of $\tau_{DP}(K_p \lor G)$?